



### AP-fondernas Etikråd – ett samarbete mellan AP1-AP4

- Bildades 2007
- Samarbetar beträffande utländska noterade bolag
- En två medlemmar från varje fond samt generalsekreterare
  - Fördelar
    - Större möjlighet påverka
    - Tids-, resurs- och kostnadseffektivt
    - Samarbeta med andra investerare
    - Bättre kommunikation
- Utgår från internationella konventioner Sverige ratificerat
  - Svenska statens värdegrund
  - Stabilitet och långsiktighet



# 3500 bolag i vår reaktiva process – ERs "radar"







DAGENS NYHETER. Nyheter Ekonomi Kultur Sthlm Gbg Sport Ledare DN Debatt DN Åsikt  $\equiv$  Mer

Prenumerera

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Ekonomi

# Swedish AP funds: No confidence in mining company Vale

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Foto: Andre Penner/AP

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Last Updated 12 minutes ago
Africa
Congo says Angola tailings pollution kills 12, to seek compensation
By Hereward Holland and Helen Reid

KINSHASA, Sept 2 (Reuters) - The Democratic Republic of Congo will seek compensation from the owners of an Angolan diamond mine after a tailings dam leak polluted drinking water, causing 12 deaths and making thousands of people ill, the country's environment minister said on Thursday.

The late-July leak from Angola's biggest diamond mine turned a tributary of the Congo River red following a rupture in a spillway for the mine's tailings dam, which stores mining industry waste meant to stay undisturbed.



### Investor Mining & Tailings Safety Initiative

1st Roundtable: 4th March,

2nd Roundtable: 1st April

3rd Roundtable: 7th May

4th Roundtable: 10th June

5<sup>th</sup> Roundtable: Mining & Tailings Safety Summit 31<sup>st</sup> October, London





#### **DISCLOSURES**

This portal brings together all of the disclosures that mining companies have made about their tailings storage facilities. It gives communities, investors, regulators and the media unprecedented access to information about mine waste.



98

MINING COMPANIES



162



305



764



1939

TAILINGS STORAGE **FACILITIES** 

PARTNER COMPANIES

MINING OPERATORS

MINE SITES







## Global Industry Standard on Tailings Management

Strengthening current practices in the mining industry by integrating social, environmental and technical considerations, the Standard covers the entire tailings facility lifecycle – from site selection, design and construction, through management and monitoring, to closure and post-closure.

With an ultimate goal of zero harm to people and the environment, the Standard sets a global benchmark for achieving strong social, environmental and technical outcomes. It elevates accountability to the highest organisational levels and adds new requirements for independent oversight. The Standard also establishes clear expectations around transparency and public disclosure, helping to improve understanding by interested stakeholders.

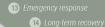
Comprising six Topic areas, 15 Principles and 77 auditable Requirements, the Standard provides a framework for safe tailings facility management while affording Operators flexibility as to how best to achieve this goal.

#### MANAGEMENT AND GOVERNANCE

Assign responsibility and accountability for key roles in the management of a tailings facility.

Establish standards for critical systems and processes essential to upholding the integrity of a facility throughout its lifecycle. Support cross-functional collaboration and promote an organisational culture that welcomes the identification of problems and protects whistle-blowers.

i community-focused emergency preparedness sponse plan. Consider the adequacy of capacity with internally and externally to respond, and engage with communities and public sector and other agencies to prepare for the event of a failure. Support the long-term recovery of communities and the environment affected by a catastrophic tailings facility failure.





VI. PUBLIC

DISCLOSURE &
ACCESS TO
INFORMATION

Disclose relevant information about the tailings facility to support public accountability. Commit to participate in global initiatives for the creation of standardised, independent, industry-wide and publicly accessible information about the safety and integrity of tailings facilities.

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Public disclosure

- 08 Policies, systems & accountabilities
- 09 Engineer of Record
- 10 Levels of review
- 11 Organisational culture
- 12 Whistle-blower protections



#### III. DESIGN, CONSTRUCTION, OPERATION & MONITORING

Establish robust requirements for the design, construction, operation and monitoring of tailings facilities to minimise the risk of failure by maintaining an informed knowledge base. As tailings facilities are dynamic engineered structures, demonstrate the ability to upgrade a facility to a higher consequence classification or, where this is not feasible, reduce the consequences of a potential failure.

- 04) Plan and design to minimise risk
  - 05 Develop a robust design
    - 06 Minimise and manage risk across lifecycle
      - Monitor across lifecycle

#### II. INTEGRATED KNOWLEDGE BASE

I. AFFECTED COMMUNITIES

Respect human rights, by conducting human rights

due diligence to identify and address those rights most at risk. Provide opportunities for meaningful

engagement of project-affected people in

decisions that may have a bearing on public safety

and the integrity of the tailings facility.

Muman rights, meaningful engagement

and remedy for harm

Develop and document knowledge about the social, environmental and local economic context of a proposed or existing tailings facility. This multi-disciplinary knowledge base will support informed decision-making by operators and key stakeholders throughout the tailings facility lifecycle, e.g. in alternatives analyses, impact assessments, choice of technologies, consequence classification, emergency response plans, and closure planning.

- **02** Interdisciplinary knowledge base
- 03 Informed decision-making

## Oberoende institut

In December 2020, UNEP, the Church of England Pensions Board and the Council on Ethics of the Swedish National Pension Funds, announced a partnership to create an independent international institute to support the implementation of the GISTM.

The development of a self-sustaining "Independent Institute" for the Standard was a key recommendation by Dr Bruno Oberle, who acted as Independent Chair of the Global Tailings Review, in Towards Zero Harm: A compendium of papers prepared for the Global Tailings Review.



- The core function of the Institute will be to oversee the **implementation** of the GISMT. To achieve this, the Institute will be focused on;
- Awareness: Promoting awareness, understanding and adoption of the GISTM by (all) mining companies
- Assurance: Managing an assurance framework where tailings storage facilities will be audited and certified against the GISTM by qualified, independent third-party assessors.
- Knowledge Gap: Facilitating best practice transfer to improve overall knowledge in tailings management across the industry.
- Disclosures: Providing a central location for company disclosures on tailings facility details and establishing a system which will provide for public disclosure of the auditing outcomes.

